



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2145  
SKW

In re application of: **Breese et al.**

Serial No.: 10/062,369

Filed: January 31, 2002

For: **Method and System for  
Performance Reporting in a Network  
Environment**

§  
§  
§  
§  
§  
§

Group Art Unit: 2145

Examiner: **Pollack, Melvin H.**

Attorney Docket No.: **AUS920011024US1**

50170

PATENT TRADEMARK OFFICE

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 19, 2005.

By:

*Rebecca Clayton*  
Rebecca Clayton

TRANSMITTAL DOCUMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO/SB/08;
- References AA-AE; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

*Stephen J. Walder, Jr.*  
Stephen J. Walder, Jr.

Registration No. 41,534

**WALDER INTELLECTUAL PROPERTY LAW, P.C.**

P.O. Box 832745

Richardson, Texas 75083

(214) 722-6419

ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Breese et al.**

Serial No.: **10/062,369**

Filed: **January 31, 2002**

For: **Method and System for Performance  
Reporting in a Network Environment**

§  
§  
§  
§  
§  
§  
§

Group Art Unit: **2145**

Examiner: **Pollack, Melvin H.**

Attorney Docket No.: **AUS920011024US1**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

Applicants request that the information listed on the attached Form PTO/SB/08 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

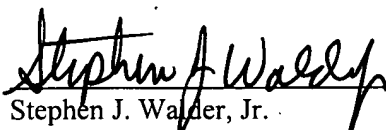
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: December 19, 2005



Stephen J. Walder, Jr.

Registration No. 41,534

**WALDER INTELLECTUAL PROPERTY LAW, P.C.**

P.O. Box 832745

Richardson, Texas 75083

(214) 722-6419



# BEST AVAILABLE COPY

PTO/SB/(08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Complete if Known

Application Number	10/062,369
Filing Date	01/31/2002
First Named Inventor	Stephen S. Breese
Examiner Name	Pollack, Melvin H.
Art Unit	2145
Attorney Docket No.	AUS920011024US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US 2003/0167406 A1	09-04-2003	Beavers, John B.	
AB	US 2002/0161875 A1	10-31-2002	Raymond, Robert L.	
AC	US 2002/0087882 A1	07-04-2002	Schneier et al.	
AD	US 2002/0073195 A1	06-13-2002	Hellerstein et al.	
AE	US 2002/0004828 A1	01-10-2002	Davis et al.	

### NON PATENT U.S. DOCUMENTS

Examiner Initials	Serial Number	Filing Date	Inventor or Author	Applicant's Docket Number

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

### NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.